

Gainesville Regional Transit System

Draft DBE Participation Goal

FY 2023, FY 2024, and FY 2025

Executive Summary

The City of Gainesville Regional Transit System (RTS) has established a Disadvantaged Business Enterprise (DBE) Program in accordance with the regulations set forth by the Federal Transit Administration (FTA), 49 CFR Part 26. RTS proposes a goal of 4.8% DBE participation in FTA-assisted contracts for fiscal years (FY) 2023, 2024, and 2025. This outlines the process to determine the relative availability of DBE’s to perform the types of contracts projected for FY23-FY25 and adopt a DBE participation goal.

Policy

It is the policy of RTS to ensure that DBEs as defined in 49 CFR Part 26 have an equal opportunity to receive and participate in FTA-assisted contracts. RTS will not exclude any person from participation in, deny any person the benefits of, or otherwise discriminate against anyone in connection with the award and performance of any FTA-assisted contract on the basis of race, color, sex, or national origin.

In administering its DBE program, RTS will not, directly or through contractual or other arrangements, use criteria or methods of administration that have the effect of defeating or substantially impairing accomplishment of the objectives of the DBE program with respect to individuals of a particular race, color, sex, or national origin.

RTS has executed the Florida Unified Certification Program (UCP) Agreement. DBE Certification Procedures, 49 CFR § 26.81, requires that all United States Department of Transportation (USDOT) Recipients participate in a statewide UCP. RTS is committed to operate in full compliance with this rule.

Methods

Step 1: Types and Amounts of Projects Anticipated

The types of projects and dollar amounts of projects for grants awarded or anticipated to be won during the FY23-FY25 period were estimated and collated. The types of work attached to each project were classified by six-digit North American Industry Classification System (NAICS) code.

STEP 1

NUMBER	NAICS CODE	PROJECT	AMOUNT DOT FUNDS	PERCENT TOTAL FUNDS
1	238910	Eastside Transfer Station - Site Work	\$400,000.00	0.0506

2	238990	Eastside Transfer Station - Concrete and Pavement	\$1,100,000.00	0.1390
3	236220	Eastside Transfer Station - Shelter Canopy	\$500,000.00	0.0632
4	236220	Eastside Transfer Station - Building	\$2,000,000.00	0.2528
5	238990	ADA Improvements Capital Improvement Project	\$1,500,000.00	0.1896
6	485991	ADA Paratransit Service	\$600,000.00	0.0758
7	333618	Bus Engines	\$600,000.00	0.0758
8	423130	Tires and Tubes	\$540,000.00	0.0682
9	441110	Support Vehicle Replacement	\$150,000.00	0.0190
10	541611	Consulting Services for Transit Electrification Plan	\$150,000.00	0.0190
11	811121	Transit Vehicle Security Barriers	\$120,000.00	0.0152
12	334290	Bus stop wayside display signage	\$100,000.00	0.0126
13	423430	Miscellaneous Computer Equipment	\$62,500.00	0.0079
14	334220	Clever Devices Equipment for cutaway vans	\$60,000.00	0.0076
15	541512	Clever Mobile CAD	\$30,000.00	0.0038
Total FTA-Assisted Contract Funds			\$7,912,500.00	1.0000

Each project category then received a weight according to the anticipated dollar amount as a percentage of all projects.

Step 2: Relative Availability of DBE Firms

For each NAICS code, the Florida UCP Directory was queried online on June 21, 2022. (URL: <https://fdotxwp02.dot.state.fl.us/EqualOpportunityOfficeBusinessDirectory/CustomSearch.aspx>) All firms with the status “Certified” which were located and available to work anywhere within the State of Florida were counted towards available DBEs. Firms are responsible to stipulate which NAICS code correspond to their scope of business. Firms based outside of Florida but available to work in Florida were not counted. For the number of all firms available, both DBE and non DBE, the same NAICS codes were used to query the US Census 2020 County Business Patterns Survey (available at data.census.gov/cedsci/). The number of firms available include all firms associated with that six-digit NAICS code within all counties in Florida.

The State of Florida was selected as the area of interest because RTS determined that the majority of its contractors, with the exclusion of transit bus manufacturers, are located within the state. Counties with the highest populations within the state were the most highly represented, rather than the counties geographically closest to Alachua County, which is where RTS is located.

STEP 2

NUMBER	NAICS CODE	PROJECT	Number of DBEs available	Number of all firms available including DBEs	Relative Availability
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			to perform this work		
1	238910	Eastside Transfer Station - Site Preparation Work	295	1657	0.1780
2	238990	Eastside Transfer Station - Concrete and Pavement	355	3715	0.0956
3	236220	Eastside Transfer Station - Shelter Canopy	362	2663	0.1359
4	236220	Eastside Transfer Station - Building	362	2663	0.1359
5	238990	ADA Improvements Capital Improvement Project	355	3715	0.0956
6	485991	ADA Paratransit Service	23	216	0.1065
7	333618	Bus Engines	0	3	0.0000
8	423130	Tires and Tubes	0	203	0.0000
9	441110	Support Vehicle Replacement	0	1207	0.0000
10	541611	Consulting Services for Transit Electrification Plan	235	9324	0.0252
11	811121	Transit Vehicle Security Barriers	2	2031	0.0010
12	334290	Bus stop wayside display signage	2	6	0.3333
13	423430	Miscellaneous Computer Equipment	7	482	0.0145
14	334220	Clever Devices Equipment for cutaway vans	0	35	0.0000
15	541512	Clever Mobile CAD	86	3777	0.0228
			2,084	31,697	0.0657

Some of the concentration figures seemed high within the construction field, which may suggest a mismatch between the NAICS coding by firms applying to join the UCP Directory and NAICS coding by the US Census Bureau. It is also possible that some firms in the UCP Directory were erroneously counted more than once. However, the overall concentration figure of 6.6% is not out of line with expectation.

Step 3: Weighted DBE Availability

RTS has chosen to weight the DBE goal by size of the projects in each NAICS code rather than by the concentration of firms in Florida in that NAICS code. Therefore, the weights from Step 1 are multiplied by the percent availabilities from Step 2.

STEP 3

NUMBER	NAICS CODE	PROJECT	Weight	Availability	Weighted Base Figure
1	238910	Eastside Transfer Station - Site Preparation Work	0.0506	0.1780	0.0090
2	238990	Eastside Transfer Station - Concrete and Pavement	0.1390	0.0956	0.0133
3	236220	Eastside Transfer Station - Shelter Canopy	0.0632	0.1359	0.0086
4	236220	Eastside Transfer Station - Building	0.2528	0.1359	0.0344
5	238990	ADA Improvements Capital Improvement Project	0.1896	0.0956	0.0181
6	485991	ADA Paratransit Service	0.0758	0.1065	0.0081
7	333618	Bus Engines	0.0758	0.0000	0.0000
8	423130	Tires and Tubes	0.0682	0.0000	0.0000
9	441110	Support Vehicle Replacement	0.0190	0.0000	0.0000
10	541611	Consulting Services for Transit Electrification Plan	0.0190	0.0252	0.0005
11	811121	Transit Vehicle Security Barriers	0.0152	0.0010	0.0000
12	334290	Bus stop wayside display signage	0.0126	0.3333	0.0042
13	423430	Miscellaneous Computer Equipment	0.0079	0.0145	0.0001
14	334220	Clever Devices Equipment for cutaway vans	0.0076	0.0000	0.0000
15	541512	Clever Mobile CAD	0.0038	0.0228	0.0001
				Total	0.0963

Base Goal	9.6%
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The third column is therefore the percentage of all FTA grant awards expected to be performed by DBEs. The figures in this column are subsequently summed to determine the expected percentage of all FTA grant awards to be performed by DBEs. This total is called the Base Goal.

Step 4: Historical Participation

It is important that DBE goals reflect local conditions and underlying trends. Therefore, the amount of DBE contract awards for the prior three year reporting period were obtained and the percent to DBE were calculated.

STEP 4

NUMBER	YEAR		Total Prime Dollars	Total DBE Dollars	Percent to DBE
1	FY2019	First Semi-Annual Report	\$2,292,378.00	\$0.00	0.00%
2	FY2019	Second Semi-Annual Report	\$79,440.00	\$0.00	0.00%
3	FY2020	First Semi-Annual Report	\$968,064.00	\$733,654.00	75.79%

4	FY2020	Second Semi-Annual Report	\$2,216,731.00	\$0.00	0.00%
5	FY2021	First Semi-Annual Report	\$171,146.00	\$0.00	0.00%
6	FY2021	Second Semi-Annual Report	\$11,812.00	\$0.00	0.00%
		Overall Attainment during previous 3-year period			12.8%

Average of the weighted goal of 9.6% with the historical median of 0% is 4.8%.

The participation for the years 2019, 2020, and 2021 is 0%, 23.04%, and 0%. **The median is 0%.** Therefore, the Base Goal is averaged with the median participation to arrive at the overall goal of **4.8%**.

The goal-setting methodology and goal figures arrived at are in line with the goal set for the FY20-FY22 period. For the FY16-FY18 period, the amount of DBE participation was 0% for each year. The DBE firm availability, using the statewide area, was 6.2%, and the final goal was 3%.

Race Conscious

The USDOT encourages DBE goals to be met via race-neutral means such as providing small business engagement and support services to the greatest extent possible, per 49 CFR § 26.51. Race-conscious means are defined as contract goals, and must be established to meet any portion of the recipient’s overall goal not projected to be able to be met using race-neutral means.

While the goal for this period is higher than the goal for the previous three-year period, the goal for the previous three-year period was met using race neutral means. Therefore, it is appropriate to project that the goal may be met via race-neutral means.

Race/Gender Neutral	4.8%
Race/Gender Conscious	0.0%
Total DBE	4.8%

DBE participation will be monitored continuously and the need for contract goals will be re-evaluated annually through FY23-25.

Public Notice

This draft goal methodology and goal will be published on the RTS website for 30 days. In addition, input and guidance will be solicited from the following community partners.

- The City of Gainesville Office of Equal Opportunity
- Gainesville Area Chamber of Commerce
- Gainesville Area Innovation Network/startGNV
- SF Center for Innovation and Economic Development (CIED)
- University of North Florida’s Small Business Development Center (SBDC)
- Business Resources at UF’s Side Martin Biotechnology Incubator Program

- City of Gainesville Small Business Procurement Program
- UF Small Business and Supplier Diversity
- Florida Procurement Technical Assistance Center
- Supplier Connection
- Advanced Manufacturing Association of North Central Florida (AMANCF)
- Gainesville Area Women's Network (GAWN)
- Our Town
- Florida Small Business Development Center
- Institute for Workforce Innovation
- Career Source North Central Florida
- Florida Disadvantaged Business Enterprise Program
- Small Business Resource Network Jacksonville
- Florida Advisory Council on Small and Minority Business Development